

12 Extension of Shelf Life and Control of Human Pathogens in Produce by Antimicrobial Edible Films and Coatings

Tara H. McHugh, Roberto J. Avena-Bustillos, and Wen-Xian Du

Biopolymers Used for Edible Films and Coatings

Components of edible films and coatings can be divided into three categories: hydrocolloids, lipids, and composites. Hydrocolloids include proteins and polysaccharides, such as starch, alginate, cellulose derivatives, chitosan, and agar. Lipids include waxes, acylglycerols, and fatty acids (Min and Krochta 2005). Composites contain combinations of both hydrocolloid components and lipids. The choice of formulation for edible film or coating is largely dependent on its desired function—such as biodegradability, edibility, aesthetic appearance, and good barrier properties against oxygen—which varies based on the composition of the film (Cha and Chinnan 2004). In addition, edible films and coatings can serve as supports containing antimicrobial, nutritional, and antioxidant substances (Gennadios and others 1997).

Depending on their composition, the functionality of edible film and coating materials may vary because each component confers different properties on the composite matrix. Films made of hydrocolloids (polysaccharides or proteins) usually have strong mechanical and gas barrier properties, but also have poor water vapor barrier properties and high permeability to moisture. In contrast, films composed of lipids exhibit good water vapor barrier properties, but they tend to show poor mechanical strength and high oxygen permeability. Combining these components into one matrix allows them to physically and/or chemically interact and may result in films with improved properties (Diab and others 2001). For example, fruit-based edible films can be made with excellent oxygen barrier properties, but not very good moisture barrier properties. Combining fruit purées with various gelling agents (such as alginate) improves the water barrier and tensile properties of the resultant fruit-based films (Mancini and McHugh 2000).

Polysaccharides are commonly used for edible films because their film-forming properties are derived from cellulose, starch, alginate, and their mixtures. A plasticizer is normally added to increase the flexibility of the film, and occasionally it is used only to facilitate the polymer processing. The most commonly used plasticizers in starch-based films are polyols, such as sorbitol and glycerol. They are frequently added into edible films to relax the intermolecular forces and increase the mobility of the polymeric chains to improve flexibility (Durango and others 2006). Glycerol is a low-molecular-weight nonvolatile substance that is often used to modify the mechanical

properties of hydrophilic films. The addition of glycerol into films reduces internal hydrogen bonding between polymer chains while increasing molecular volume, resulting in an improvement in film flexibility (Mali and others 2006).

The development of films from water-soluble polysaccharides has led to promising new types of materials for the preservation of fruits and vegetables, because these biopolymers show selective permeability to O_2 and CO_2 . These films reduce O_2 levels and increase CO_2 levels in the internal atmospheres of coated fruits and vegetables and reduce respiration rates, thereby extending the shelf life of fresh produce in a manner similar to modified/controlled atmosphere storage (Diab and others 2001).

Edible Coatings for Fresh Fruits and Vegetables

Edible coatings are continuous biopolymeric matrices formed as films and directly applied on the exterior surface of fresh fruits and vegetables. Edible wax coatings have been used in fresh produce since the 1930s in the United States to reduce moisture loss and improve glossiness (Park 1999). Edible coatings are prepared as solutions and emulsions from proteins, polysaccharides, and lipids and are applied on produce surfaces by different mechanical procedures, such as dipping, spraying, and brushing (Avena-Bustillos and others 1994, 1997), or by electrostatic deposition (Amefia and others 2006). The chemical and physical characteristics of the edible coating solution and the coating thickness, homogeneity, and adhesiveness depend on the surface structure and morphology of the fruits and vegetables (Miller and Krochta 1997). Produce skin pores, trichomes, and natural waxes all affect the oxygen, carbon dioxide, and water permeability properties of the coatings and influence their capability to maintain freshlike quality of produce (Avena-Bustillos and others 1994; Park 1999). Coating matrices also can incorporate active antimicrobial agents to provide produce with microbial produce stability and protect against foodborne outbreaks.

Edible Films for Fresh Fruits and Vegetables

Edible films are thin films prepared from edible material that act as a barrier to control moisture, oxygen, carbon dioxide, flavor, and aroma exchange between food components or with the atmosphere surrounding the food and also to protect the product, extend its shelf life, and improve its quality (Suyatma and others 2005). For edible films to be used in foods, there are several requirements to be considered, such as appropriate gas and water barrier properties; good mechanical strength and adhesion; reasonable microbial, biochemical, and physicochemical stability; effective carrier capability for antioxidant, flavor, color, nutritional, or antimicrobial additives; safety for human consumption (free of pathogenic microorganisms and hazardous compounds); acceptable sensorial characteristics; low cost of raw materials; and simple technology for production (Debeaufort and others 1998).

Generally, an *edible film* is defined as a preformed thin layer or solid sheet of edible material placed on or between food components (Krochta and De Mulder-Johnston 1997). Edible films can be used as wraps or pouches for food. Wrapped films were shown to be advantageous over traditional coatings for retarding moisture and color losses in fresh-cut apples during storage (McHugh and Senesi 2000). Edible films can also enhance or improve food's appearance and nutritional value.

The applications of edible films to fresh fruits and vegetables have received increasing interest because these films can serve as carriers for various antimicrobial compounds that can reduce the risk of pathogen growth. Preservatives, acidulants, antioxidants, and antibiotic compounds can be added to edible films to reduce surface microbial populations on foods and enhance oxygen-barrier properties. Edible films can also enhance food nutritional value and improve the appearance of foods. A greater emphasis on safety features associated with the addition of antimicrobial agents is the next area for development in edible films technology (Cha and Chinnan 2004).

Fruit and Vegetable-Based Edible Films

McHugh and others (1996) developed the first edible films made from fruit purées and characterized their water vapor and oxygen permeability properties. Fruit-based edible films were excellent oxygen barriers, particularly at low to moderate relative humidities. McHugh and Senesi (2000) coated apple pieces by dipping into solutions and then drying or wrapping in preformed apple-based edible films. Increasing the lipid concentration of the films significantly improved its moisture barrier properties. Water vapor permeability values were reduced from 325 to 69 g-mm/kPa-d-m² through the addition of lipids. Apple-based wraps significantly reduced moisture loss and browning in fresh-cut apples, retaining color for 12 days at 5 °C. Wraps were significantly more effective than coatings of the same composition (McHugh and others 1997).

In addition to providing antimicrobial properties, fruit- and vegetable-based edible films can benefit consumers in other ways. For example, although the USDA Food Guide Pyramid recommends that mature adults consume 2–4 servings of fruit per day, less than half of Americans meet these dietary recommendations. Because consumers demand convenience and variety, there is a need to provide access to fruit products in new, innovative forms. Incorporation of fruit purées into edible barrier films can help meet these needs. Fruit films, due to their low moisture levels, are concentrated sources of natural nutrients and can impart appealing colors and flavors.

Apple and tomato purées have been used to prepare model edible films in recent studies to incorporate antimicrobial plant essential oils (Rojas-Graü and others 2006, 2007a; Olsen and others 2008). Undoubtedly, the results can be extrapolated to other fruit- and vegetable-based films. Based on the interest in the use of fruit and vegetable films, a commercial partner, Origami Foods, has begun to commercialize fruit- and vegetable-based edible films.

A potential application of fruit- and vegetable-based edible films is the controlled release of volatile active antimicrobial compounds from the natural essential oils of plants. Because plant essential oils and some fruit and vegetable products are commonly found in combination food products such as pizza, which contains tomato, basil, and oregano, it is anticipated that the flavors of plant essential oils and other antimicrobial phytochemicals added to the fruit and vegetable films will be readily acceptable to consumers (Rojas-Graü and others 2007b). Edible films can then be incorporated into conventional packaging systems (Koide and Shi 2007) for fresh and fresh-cut fruits and vegetables with a dual purpose as edible and antimicrobial components.

Edible Film Casting Methods

Despite the growth in research on edible films, the extent of commercialization has not progressed as significantly. Manufacturing processing methods and the resultant mechanical and water barrier properties of edible films must be improved for practical use (Arvanitoyannis and Gorris 1999). Edible films are commonly produced via a solution casting process where the films are dried from 2 to 12 h. Shorter drying times allow the formation of films with no significant microbial contamination. Knowledge of critical control points is necessary to reduce the risk of microbial growth. The quality of the starting materials, as well as the use of heat and good sanitation during casting and drying, is necessary to ensure safety (McHugh and Olsen 2001).

Most of the edible films made have been cast using inefficient technologies and there is a need to develop more efficient methodologies for the mass production of edible films. Recently, we reported significant differences in physical and antimicrobial properties of apple- and tomato-based edible films made by continuous casting under infrared heating in a pilot plant lab coater and by a batch drying process done overnight under ambient air (Du and others, 2008a,b). The continuous method for film casting was more suitable for large-scale production of fruit- and vegetable-based edible films than the batch method. The tendency of volatile active antimicrobial compounds to evaporate during casting at high temperatures can be compensated by manipulating the formulation to achieve an appropriate final concentration of the antimicrobial compound in the dried films (Du and others, 2008a,b).

Antimicrobial Plant Essential Oils in Edible Films

Naturally derived biological compounds and other natural products may have application in controlling pathogens in produce. They have varied antimicrobial and antioxidant properties that can break down cellular membranes and disrupt biosynthetic pathways of microorganisms (Benaventi-García and others 1998; Bowles and Juneja 1998; Bowles and others 1995). The use of edible films as antimicrobial carriers represents an interesting approach for the external incorporation of plant essential oils and other phytochemicals onto food system surfaces. The agents can then diffuse into the food to control target microorganisms. The antimicrobial activity of plant essential oils is confined to a number of small terpenoid and phenolic compounds, which are known to exhibit antibacterial or antifungal activity.

Recent studies have shown that essential oils of oregano (*Origanum vulgare*), thyme (*Thymus vulgaris*), cinnamon (*Cinnamom casia*), lemongrass (*Cymbopogon citratus*), and clove (*Eugenia caryophyllata*) are among the most active antimicrobials against strains of *Escherichia coli* (Dorman and Deans 2000; Friedman and others 2002; Hammer and others 1999; Smith-Palmer and others 1998). Although the effectiveness of all these compounds has been widely reported, carvacrol (a major component of the essential oils of oregano and thyme) appears to have received the most attention from investigators. Carvacrol is generally regarded as safe (GRAS) and used as a flavoring agent in baked goods, sweets, ice cream, beverages, and chewing gum (Fenaroli 1995). However, widespread application of plant essential oils in food systems has been limited by the incompatibility of their strong flavors with some foods. Plant essential oils and their components are compatible with the sensory characteristics of fruits and vegetables and have been shown to prevent bacterial growth.

Among the complex constituents of citrus essential oils, the terpene citral is known to have strong antifungal properties (Rodov and others 1995). In addition, cinnamon oil and its active compound (cinnamaldehyde) also have been tested for their inhibitory activity against *E. coli* (Friedman and others 2004a,b; Helander and others 1998).

Phenolic compounds are found in numerous plant species (Walsh 2003). These compounds appear to be involved in the defense of plants against invading pathogens, including bacteria, fungi, and viruses. Phenolic compounds present in teas (Friedman and others 2005, 2006), pigmented rice brans (Nam and others 2006), and most fruits and vegetables (Shahidi and Naczki 2004) are also reported to exhibit antimicrobial effects (Friedman and others 2003, 2005). Some of these have been incorporated in edible films (Cagri and others 2004).

Studies on the antibacterial activity of oregano, lemongrass, and cinnamon plant essential oils and their major components carvacrol, citral, and cinnamaldehyde in apple purée film-forming solutions against the foodborne pathogen *E. coli* O157:H7 and *Salmonella enterica* show that oregano oil as well as its major component carvacrol killed *E. coli* O157:H7 and *S. enterica* practically on contact (3 min). The order of antimicrobial activities was as follows: carvacrol > oregano > citral > cinnamaldehyde > lemongrass > cinnamon oil (Friedman and others 2004). The evaluation of the physicochemical properties of films made from apple slurries revealed no adverse effect of the additives on water vapor permeability properties (Rojas-Graü and others 2006, 2007a). The antimicrobial films showed good oxygen barrier properties and their tensile strength did not differ significantly from control films without added antimicrobials.

Physical Properties of Edible Films Containing Plant Essential Oils

The ideal characteristics of an edible film would be low water vapor permeability and high mechanical strength. The physicochemical properties of edible films (e.g., color, tensile strength, water vapor, and oxygen permeability) relate to the ability of the coating to enhance the mechanical integrity of foods, inhibit moisture loss and oxidative rancidity, and improve final-product appearance (Debeaufort and others 1998). A complete analysis of both antimicrobial and physicochemical properties is important for predicting the behavior of antimicrobial edible films in the food system (Cagri and others 2001; McHugh and Krochta 1994b).

McHugh and others (1996) demonstrated that apple-based edible films were not very good moisture barriers and that the addition of lipids could potentially improve the water barrier properties of fruit-based films. Rojas-Graü and others (2006) found that water vapor permeability decreased when the proportion of the hydrophobic compounds increased in apple-based edible films, this effect being more prominent when oregano oil was used in the composition of the films.

Adding carvacrol addition to apple purée edible films resulted in significant decrease in film water vapor permeability. Water vapor transfer generally occurs through the hydrophilic portion of the film; thus, water vapor permeability depends on the hydrophilic-hydrophobic ratio of the film components (Hernández 1994). Water vapor permeability increases with polarity, unsaturation, and degree of branching of the lipid, but it also depends on the water absorption properties of the polar part of the film (Gontard and others 1994).

The chemical nature of the essential oils also plays an important role in the barrier properties of edible films. Differences observed in these properties can be explained by the hydrophobicity of the plant essential oils. Carvacrol, a phenolic compound containing an alcohol group in its chemical structure, seems to be a good barrier compared to aldehyde compounds (e.g., cinnamaldehyde, citral) because the hydroxyl group has less affinity for water than for the carbonyl groups. Carvacrol then offers the possibility not only to enhance antimicrobial efficiency but also to improve water barrier properties of edible films.

McHugh and Senesi (2000) suggested that lipids with lower melting points, such as vegetable oil, oleic acid, and myristyl alcohol, exhibit superior barrier properties presumably due to their smooth structure and lack of channels between crystalline platelets through which water could migrate easily. The incorporation of emulsion droplets in the film increases the distance traveled by water molecules that diffuse through the film, thereby decreasing water vapor permeability (McHugh and Krochta 1994c).

McHugh and others (1996) demonstrated that apple-based edible films are excellent oxygen barriers, particularly at low-to-moderate relative humidities. An apple purée edible film was a good oxygen barrier, exhibiting low oxygen permeability values of $22.6 \pm 1.3 \text{ cm}^3 \mu\text{m}/\text{m}^2\text{-d-kPa}$. The oxygen permeability values of this film increased as higher amounts of plant essential oils were incorporated. McHugh and Krochta (1994a) indicated that films containing lipids exhibit relatively poor oxygen barrier properties. The oil chemical nature plays a major role in the barrier properties of edible films. Lower oxygen permeability was observed in films that contained oregano, lemongrass, and cinnamon oils than in those that contained its antibacterial compounds carvacrol, citral, and cinnamaldehyde, respectively (Rojas-Graü and others 2006, 2007a).

Tensile strength is one of the most common indicators of the mechanical property of an edible film. It expresses the maximum stress developed in a film specimen during tensile testing (Gennadios and others 1994). The incorporation of plant essential oils in apple-based edible films caused a significant increase in tensile strength, % elongation, and elastic modulus of the film. These differences could be related to differences in their polarities. These results are in agreement with those obtained by Pranoto and others (2005), who studied the physical and antibacterial properties of alginate edible film with garlic oil. Elongation at break is a measure of the film stretchability prior to breakage (Krochta and De Mulder-Johnston 1997). Zivanovic and others (2005) studied the antimicrobial and physicochemical properties of polysaccharide (chitosan) films enriched with essential oils. They observed a decrease in tensile strength and an increase in elongation percentage when the essential oils were introduced into the films. This behavior also was observed by Bégin and Van Calsteren (1999).

Evaluation of Antimicrobial Activity of Volatile Components

The growth of microorganisms on the surface of a food is a key factor affecting the safety and/or spoilage of food products (Padgett and others 1998). The direct addition of an antimicrobial additive into foods might reduce its effectiveness, due to the presence of substances that interact with it, to reduce its antimicrobial effect (Durango and others 2006). The use of antimicrobial films could be more efficient than adding

antimicrobials directly to the food. The antimicrobials migrate selectively and gradually from the film surface toward the surface of the food, and therefore maintain a high concentration of antimicrobial at the food surface for extended exposure (Ouattara and others 2000). Antimicrobial substances incorporated into edible films can control microbial contamination of fruits and vegetables by reducing the growth rate of target microorganisms, or by inactivating microorganisms by direct contact.

Most of the existing methods for testing the antimicrobial activities of substances require direct contact between the active agent and the microorganism (i.e., food), and thus are not relevant to many commercial products in which there is little or no direct contact between the food and the packaging material (Rodríguez and others 2007). Vapor phase tests, which are not direct contact assays, can be used to assess the protection provided by the antimicrobial volatile materials under no direct contact conditions.

One advantage of essential oils is their bioactivity in the vapor phase, a characteristic that makes them useful as possible fumigants for stored commodity protection. The antimicrobial activity of essential oils by vapor contact was first reported by Kellner and Kober (1954). They studied the effect of 175 essential oils in the gaseous state against eight airborne bacteria and fungi using an inverted petri plate technique (Maruzzella and Sicurella 1960). A volatile compound contained in a cup or on a paper disc was exposed to the inverted agar medium inoculated with a test organism. The size of the growth inhibitory zone after incubation is used as the measure of vapor activity. This technique is convenient for qualitative analysis, but not for quantitative comparison of the vapor activity of essential oils (Inouye and others 2003).

For components to evaporate and be classed as volatile it is imperative that there is a loss of weight over a time or temperature course (Fisher and Phillips 2008). The evaporation of the essential oils is effected by external factors such as temperature, humidity, concentration, and pressure (Aumo and others 2006). Volatile compounds from plants usually have a relatively high vapor pressure and are capable of interacting with an organism through the liquid and the gas phase (Fries 1973).

Storage temperature also influences the antimicrobial activity of chemical preservatives. Generally, increased storage temperature can accelerate the migration of the active agents in the film/coating layers, and refrigeration slows down the migration rate (Quintavalla and Vicini 2002).

Methods to Measure the Antimicrobial Activity of Edible Films

Plant essential oils are a potentially useful source of antimicrobial compounds that can be incorporated into edible films. Factors such as the composition and solubility of the oil, bacterial strain, the sources of antimicrobial samples used, and the method of growing and enumerating the surviving bacteria can influence the determination of the antimicrobial activity of a plant oil (Friedman and others 2002; Zaika 1988).

Zone of inhibition assay (agar diffusion assay) is a commonly used method for the measurement of antimicrobial activity of edible films on solid medium. A recent study on the contribution of the vapors to the antimicrobial effects in direct disc diffusion method indicated that only the water-soluble components diffused across the agar while the redeposition of the vaporized components on the surface of the agar

accounted for the remainder of the inhibition. It was found that for oils containing alcohol, ketone, ester, oxide, and hydrocarbons the major inhibition came from the vapors whereas for oils containing greater volumes of aldehydes inhibition came from diffusion (Inouye and others 2006).

Minimum inhibitory concentrations (MICs) of antimicrobial edible films can be assessed by the agar diffusion assay and observing the zone of inhibition, or the agar dilution method with visible growth observed, or broth dilution with visible growth, optical density, absorbance, or viable counts measured (Burt 2004). The MIC is determined as the lowest concentration at which growth is inhibited. The major problem with the method of determining the strength of antimicrobial activity of edible films is their hydrophobic nature, which makes them insoluble in water-based media (Fisher and Phillips 2008). Recently applied methods to evaluate the effect of antimicrobial edible films on the inhibition of human pathogens are shown in Table 12.1.

Table 12.1. Effects of edible antimicrobial films on the inhibition of human pathogens

Base Material for Films	Target Pathogens Antimicrobial	Antimicrobial Agent	MIC ^a	References
Whey protein isolate (WPI)	<i>E. coli</i> O157:H7	Oregano oil	2 %w/v	Seydim and Sarikus 2006
	<i>Staph. aureus</i>	Garlic oil	3	
	<i>S. enteritidis</i>	Rosemary oil	>4	
	<i>L. monocytogenes</i>			
Alginate-apple puree	<i>E. coli</i> O157:H7	Oregano oil	0.1 %w/v	Rojas-Graü and others 2007a
		Carvacrol	0.1	
		Lemongrass oil	0.5	
		Citral	0.5	
		Cinnamon oil	0.5	
		Cinnamaldehyde	0.5	
Pectin-apple puree	<i>E. coli</i> O157:H7	Oregano oil	0.08 %w/v	Rojas-Graü and others 2006
		Lemongrass oil	0.5	
		Cinnamon oil	0.5	
Alginate	<i>E. coli</i>	Garlic oil	>0.4 %v/v	Pranoto and others 2005
	<i>S. typhimurium</i>	Garlic oil	>0.4	
	<i>Staph. aureus</i>	Garlic oil	0.2	
	<i>B. cereus</i>	Garlic oil	0.1	
Yam starch ^b	<i>S. enteritidis</i>	Chitosan	3	Durango and others 2006
Alginate-apple	<i>E. coli</i> O157:H7	Citral oil	0.5 %w/w	Rojas-Graü and others (2007b)
		Lemongrass oil	0.5	
Chitosan	<i>L. monocytogenes</i>	Chitosan	>1 %w/v	Coma and others 2001
Chitosan	<i>E. coli</i> O157:H7 <i>L. monocytogenes</i>	Anise oil	4 %w/w	Zivanovic and others 2005
		Basil oil	4	
		Coriander oil	4	
		Oregano oil	1	

^aConcentration in film solution.

^bMethod for testing inhibition was growth curve; all other tests were by zone of inhibition assay.

Use of Edible Films and Coatings on Fresh Fruits and Vegetables

The most important quality attributes contributing to the marketability of fresh produce include appearance, color, texture, flavor, nutritional value, and microbial safety. These quality attributes are determined by plant variety, stage of maturity or ripening, and the pre- and postharvest conditions (Lin and Zhao 2007). Fresh fruits undergo vigorous biological reactions after harvest because their respiration accelerates the natural loss of fruit tissue. Therefore, fruits tend to lose water at room temperature; change appearance, texture, and quality; and decrease in commercial value. For use on fresh fruits and vegetables, an edible film would include good barrier properties and be odorless, tasteless, and transparent. Edible polymer films may be formed as either food coatings or stand-alone film wraps and pouches. They have the potential use with food as moisture, gas, and/or aroma barriers. A list of applications of edible films on fresh fruits and vegetables is shown in Table 12.2.

Table 12.3 shows some examples of successful applications of antimicrobial edible coatings on fresh fruits and vegetables. Antimicrobial edible coatings are more promising to be used on fresh-cut fruits and vegetables than on fresh produce, except when the produce is commonly consumed without peeling like the fresh produce listed in Table 12.3. Surprisingly, little research has been done on applications of antimicrobial coatings to melons or tomatoes, both of which have been reported in several major foodborne pathogen outbreaks.

The potential benefits of using edible films and coatings in the fresh produce industry include providing a moisture barrier on the surface of produce to decrease moisture loss; providing a sufficient gas barrier to control gas exchange between the fresh produce and its surrounding atmosphere to slow respiration, delay deterioration, and protect the fresh produce from brown discoloration and texture softening during storage; restricting the loss of natural volatile flavor and color compounds from the fresh produce or the acquisition of foreign odors by providing gas barriers; protecting produce from physical damage caused by mechanical impact, abrasions, pressure,

Table 12.2. Application of edible films on fresh fruits and vegetables

Product	Application	Film Materials	Functions	References
Strawberry	Wrap, pouch	Wheat gluten-based films	Retention of firmness, reduced weight loss, and maintained visual quality during storage	Tanada-Palmu and Grosso 2005
Apple	Wrap	Apple-based edible films	Reduced moisture loss and browning in fresh-cut apples	McHugh and Senesi 2000
Lettuce	Wrap	Biodegradable protein film	Did not show any beneficial effects on pectic substances and pigments	Schreiner and others 2003
Green pepper	Wrap	Polylactic acid-based biodegradable film	Can be used to maintain quality and sanitary conditions in modified atmosphere packaging	Koide and Shi 2007

Table 12.3. Application of antimicrobial edible coatings on fresh fruits and vegetables

Product	Antimicrobial	Film Materials	Functions	References
Strawberry	Potassium sorbate/citric acid	Corn and potato starch	Inhibition of coliforms growth, extending shelf life for 14 days	Garcia and others 1998
Strawberry	Chitosan	Chitosan, lactic acid, and sodium lactate	Controlling decay and psychrotrophic food pathogens	Devlieghere and Debevere 2004
Table grape	Aloe vera	Aloe vera	Extended shelf life up to 31 days and reduced initial mesophilic aerobic count	Valverde and others 2005
Cherry	Aloe vera	Aloe vera	Extended shelf-life, improved sensory and chemical quality, and reduced initial mesophilic aerobic count	Martinez-Romero and others 2006
Apple	Malic/lactic acid	Soy protein isolate and glycerol	Inhibited growth of pathogenic bacteria and extended shelf life	Eswaranandam and others 2006
Quince	Ascorbic acid	Semperfresh (sucrose esters of fatty acids (FA), sodium carboxymethyl cellulose, and FA monodiglycerides)	Extended shelf life up to 31 days and reduced initial mesophilic aerobic count	Yurdugül 2005
Carrot	Turmeric	Casein, polyvinyl, and propylene glycol alcohol	Inhibition of coliforms growth extending shelf life for 7 days	Jagannath and others 2006
Lettuce	Chitosan	Chitosan, lactic acid, and sodium lactate	Controlling decay and psychrotrophic food pathogens	Devlieghere and Debevere 2004
Cabbage leaf	Lemon, orange, and bergamot essential oils	Lemon, orange, and bergamot essential oils	Reduce 5–6 log microbial loads Gram-positive and Gram-negative bacteria (<i>Campylobacter jejuni</i> , <i>L. monocytogenes</i> , <i>B. cereus</i> , and <i>S. aureus</i>)	Fisher and Phillips 2006

vibrations, and other factors; and acting as carriers for other functional ingredients, such as antimicrobial compounds, antioxidant agents, phytochemicals, colorants, and flavor ingredients for reducing microbial loads, delaying oxidation and discoloration, and improving quality and shelf life of fresh produce (Lin and Zhao 2007).

Summary

The use of edible films and coatings as carriers of natural antimicrobials (such as plant essential oils) constitutes an approach for external protection of fruits and vegetables to reduce surface microbial populations and to enhance oxygen-barrier properties, potentially increasing food safety as well as shelf life of highly perishable foods such as fresh and fresh-cut fruits and vegetables. Appropriately formulated edible films and coatings can be utilized for fresh produce to meet challenges associated with stable quality, market safety, nutritional value, and economic production cost.

References

- Amefia AE, Abu-Ali JM, Barringer SA. 2006. Improved functionality of food additives with electrostatic coating. *Innovative Food Science & Emerging Technologies* 7(3):176–181.
- Arvanitoyannis I, Gorris LGM. 1999. Edible and biodegradable polymeric materials for food packaging or coating. In: *Processing Foods: Quality Optimization and Process Assessment*, edited by Oliveira FAR, Oliveira JC, pp. 357–371. CRC Press, Boca Raton, FL.
- Aumo J, Wana J, Salmi T, Murzin DY. 2006. Interaction of kinetics and internal diffusion in complex catalytic three-phase reactions: activity and selectivity in citral hydrogenation. *Chemical Engineering Science* 61(2):814.
- Avena-Bustillos RJ, Krochta JM, Saltveit ME. 1997. Water vapor resistance of red delicious apples and celery sticks coated with edible caseinate-acetylated monoglyceride films. *Journal of Food Science*, 62(2):351–354.
- Avena-Bustillos RJ, Krochta JM, Saltveit ME, Rojas-Villegas RJ, y Saucedo-Perez JA. 1994. Optimization of edible coating formulations on zucchini to reduce water loss. *Journal of Food Engineering* 21:197–214.
- Bégin A, Van Calsteren MR. 1999. Antimicrobial films produced from chitosan. *International Journal of Biological Macromolecules* 26:63–67.
- Benaventi-García O, Castillo J, Marín FR, Ortuño A, Del-Río JA. 1998. Uses and properties of citrus flavonoids. *Journal of Agriculture and Food Chemistry* 45:4505–4515.
- Bowles BL, Juneja VK. 1998. Inhibition of foodborne bacterial pathogens by naturally occurring food additives. *Journal of Food Safety* 18:101–112.
- Bowles BL, Sackitey SK, Williams AC. 1995. Inhibitory effects of flavor compounds on *Staphylococcus aureus* WRR C B124. *Journal of Food Safety* 15:337–347.
- Burt S. 2004. Essential oils: their antibacterial properties and potential applications in foods—a review. *International Journal of Food Microbiology* 94(3):223–253.
- Cagri A, Ustunol Z, Ryser ET. 2001. Antimicrobial, mechanical, and moisture barrier properties of low pH whey protein-based edible films containing p-aminobenzoic or sorbic acids. *Journal of Food Science* 66:865–870.
- . 2004. Antimicrobial edible films and coatings. *Journal of Food Protection* 67:833–48.
- Cha DS, Chinnan MS. 2004. Biopolymer-based antimicrobial packaging: a review. *Critical Reviews in Food Science and Nutrition* 44:223–237.
- Coma V, Sebtí I, Pardon P, Deschamps A, Pichavant FH. 2001. Antimicrobial edible packaging based on cellulosic ethers, fatty acids, and nisin incorporation to inhibit *Listeria innocua* and *Staphylococcus aureus*. *Journal of Food Protection* 64(4):470–475.
- Debeaufort F, Quezada-Gallo JA, Voilley A. 1998. Edible films and coatings: tomorrow's packagings: a review. *Critical Reviews in Food Science* 38:299–313.

- Devlieghere F, Debevere AV. 2004. Chitosan: antimicrobial activity, interactions with food components and applicability as a coating on fruit and vegetables. *Food Microbiology* 21:703–714.
- Diab T, Biliaderis CG, Gerasopoulos D, Sfakiotakis E. 2001. Physicochemical properties and application of pullulan edible films and coatings in fruit preservation. *Journal of the Science of Food and Agriculture* 81:988–1000.
- Dorman HJ, Deans SG. 2000. Antimicrobial agents from plants: antibacterial activity of plant volatile oils. *Journal of Applied Microbiology* 88:308–316.
- Du WX, Olsen CW, Avena-Bustillos RJ, McHugh TH, Levin CE, Friedman M. 2008a. Storage stability and antimicrobial activity against *Escherichia coli* O157:H7 of carvacrol in edible apple films prepared by two different casting methods. *Journal of Agriculture and Food Chemistry* 56:3082–3088.
- . 2008b. Antibacterial activity against *Escherichia coli* O157:H7, physical-chemical properties, and storage stability of novel carvacrol-containing edible tomato films. *Journal of Food Science* 73(7):M378–M383.
- Durango AM, Soares NFF, Benevides S, Teixeira J, Carvalho M, Wobeto C, Andrade NJ. 2006. Development and evaluation of an edible antimicrobial film based on yam starch and chitosan. *Packaging Technology Science* 19:55–59.
- Eswaranandam S, Hettiarachy NS, Meullenet JF. 2006. Effect of malic and lactic acid incorporated soy protein coatings on the sensory attributes of whole apple and fresh-cut cantaloupe. *Journal of Food Science* 71(3):S307–S313.
- Fenaroli G. 1995. *Fenaroli's Handbook of Flavor Ingredients*, 3rd ed, vol. 2. CRC Press Inc., Boca Raton, FL.
- Fisher K, Phillips C. 2006. The effect of lemon, orange and bergamot essential oils and their components on the survival of *Campylobacter jejuni*, *Escherichia coli* O157, *Listeria monocytogenes*, *Bacillus cereus* and *Staphylococcus aureus* in vitro and in food systems. *Journal of Applied Microbiology* 101(6):1232–1240.
- . 2008. Potential antimicrobial uses of essential oils in food: is citrus the answer? *Trends in Food Science and Technology*. 19:156–164.
- Friedman M, Buick R, Elliott CT. 2004a. Antibacterial activities of naturally occurring compounds against antibiotic-resistant *Bacillus cereus* vegetative cells and spores, *Escherichia coli*, and *Staphylococcus aureus*. *Journal of Food Protection* 67:1774–1778.
- Friedman M, Henika PR, Levin CE, Mandrell RE. 2004b. Antibacterial activities of plant essential oils and their components against *Escherichia coli* O157:H7 and *Salmonella enterica* in apple juice. *Journal of Agricultural and Food Chemistry* 52:6042–6048.
- Friedman M, Henika PR, Levin CE, Mandrell RE, Kozukue N. 2006. Antimicrobial activities of tea catechins and theaflavins and tea extracts against *Bacillus cereus*. *Journal of Food Protection* 69:100–107.
- Friedman M, Henika PR, Mandrell RE. 2002. Bactericidal activities of plant essential oils and some of their isolated constituents against *Campylobacter jejuni*, *Escherichia coli* O157:H7, *Listeria monocytogenes*, and *Salmonella enterica*. *Journal of Food Protection* 65:1545–1560.
- . 2003. Antibacterial activities of phenolic benzaldehydes and benzoic acids against *Campylobacter jejuni*, *Escherichia coli* O157:H7, *Listeria monocytogenes*, and *Salmonella enterica*. *Journal of Food Protection* 66:1811–1821.
- Friedman M, Kim SY, Lee SJ, Han PG, Han JS, Lee KR, Kozukue N. 2005. Distribution of catechins, theaflavins, caffeine, and theobromine in 77 teas consumed in the United States. *Journal of Food Science* 70:C550–559.
- Fries NF. 1973. Effects of volatile organic compounds on the growth and development of fungi. *Transactions of the British Mycology Society* 60:1–21.
- García MA, Martino MN, Zaritzky NE. 1998. Plasticized starch-based coatings to improve strawberry (*Fragaria x Ananassa*) quality and stability. *J. Agric. Food Chem.* 46:3758–3767.
- Gennadios A, Hanna MA, Kurth LB. 1997. Application of edible coatings on meats, poultry and seafoods: a review. *Lebensmittel Wissenschaft und Technologie* 30(4):337–350.
- Gennadios A, McHugh TH, Weller CL, Krochta JM. 1994. Edible coating and films based on proteins. In: *Edible Coatings and Films to Improve Food Quality*, edited by Krochta JM, Baldwin EA, Nisperos-Carriedo, MO, pp. 201–277. Technomic Publishing Co., Lancaster, PA.

- Gontard N, Duchez C, Cuq JL, Guilbert S. 1994. Edible composite films of wheat gluten and lipids: water vapour permeability and other physical properties. *International Journal of Food Science and Technology* 29:39–50.
- Hammer KA, Carson CF, Riley TV. 1999. Antimicrobial activity of essential oils and other plant extracts. *Journal of Applied Microbiology* 86:985–990.
- Helander IM, Alakomi HL, Latva-Kala K, Mattila-Sandholm T, Pol I, Smid EJ, Gorris LGM, Wright AV. 1998. Characterization of the action of selected essential oil components on Gram-negative bacteria. *Journal of Agriculture and Food Chemistry* 46:3590–3595.
- Hernández E. 1994. Edible coatings for lipids and resins. In: *Edible Coatings and Films to Improve Food Quality*, edited by Krochta JM, Baldwin EA, Nisperos-Carriedo MO, pp. 279–304. Technomic Publishing Co., Lancaster, PA.
- Inouye S, Abe S, Yamaguchi H, Asakura M. 2003. Comparative study of antimicrobial and cytotoxic effects of selected essential oils by gaseous and solution contacts. *The International Journal of Aromatherapy* 13(1):33–41.
- Inouye S, Uchida K, Maruyama N, Yamaguchi H, Abe S. 2006. A novel method to estimate the contribution of the vapour activity of essential oils in agar diffusion assay. *Japanese Journal of Medical Mycology* 47:91–98.
- Jagannath JH, Najappa C, Gupta DD, Bawa AS. 2006. Studies on the stability of an edible film and its use for the preservation of carrot (*Daucus carota*). *International Journal of Food Science and Technology* 41:498–506.
- Kellner W, Kober W. 1954. Possibilities of the use of ethereal oils for room disinfection. *Arzneim-Forschung* 4:319–325.
- Koide S, Shi J. 2007. Microbial and quality evaluation of green peppers stored in biodegradable film packaging. *Food Control* 18:1121–1125.
- Krochta JM, De Mulder-Johnston C. 1997. Edible and biodegradable polymer films: challenges and opportunities. *Food Technology* 51:61–74.
- Lin D, Zhao Y. 2007. Innovations in the development and application of edible coatings for fresh and minimally processed fruits and vegetables. *Comprehensive Reviews in Food Science and Food Safety* 6:60–75.
- Mali S, Groddmann MVE, García MA, Martino MN, Zaritzky NE. 2006. Effects of controlled storage on thermal, mechanical and barrier properties of plasticized films from different starch sources. *Journal of Food Engineering* 75:453–460.
- Mancini F, McHugh TH. 2000. Fruit-alginate interactions in novel restructured products. *Nahrung* 44(3):152–157.
- Martinez-Romero D, Alburquerque N, Valverde JM, Guillen F, Castillo S, Valero D, Serrano M. 2006. Postharvest sweet cherry quality and safety maintenance by Aloe vera treatment: A new edible coating. *Postharvest Biology and Technology* 39:93–100.
- Maruzzella JC, Sicurella NA. 1960. Antibacterial activity of essential oil vapors. *Journal of the American Pharmaceutical Association—Science Edition* 49:692–694.
- McHugh TH, Huxsoll CC, Krochta JM. 1996. Permeability properties of fruit puree edible films. *Journal of Food Science* 61:88–91.
- McHugh TH, Huxsoll CC, Robertson GH. 1997. Fruit puree-based films and coatings. In: *Chemistry of Novel Foods*, edited by Spanier AM, Tamura M, Okai H, Mills O, pp. 167–178. Allured Publishing Co., Carol Stream, IL.
- McHugh T, Krochta JM. 1994a. Permeability properties of edible films. In: *Edible Coatings and Films to Improve Food Quality*, edited by Krochta JM, Baldwin EA, Nisperos-Carriedo MO, pp. 139–187. Technomic Publishing Co., Lancaster, PA.
- . 1994b. Sorbitol- vs glycerol-plasticized whey protein edible films: integrated oxygen permeability and tensile property evaluation. *Journal of Agriculture and Food Chemistry* 42:841–845.
- . 1994c. Water vapour permeability properties of edible whey protein-lipid emulsion films. *Journal of the American Oil Chemistry Society* 71:307–312.
- McHugh TH, Olsen CW. 2001. Thermal mechanical and water vapor permeability properties of whey protein-peach and beta-lactoglobulin-peach films. Paper presented at Annual Meeting of the Institute of Food Technologists, Dallas, TX, June 19–23, 2001.

- McHugh TH, Senesi E. 2000. Apple wraps: a novel method to improve the quality and extend the shelf life of fresh-cut apples. *Journal of Food Science* 65:480–485.
- Miller KS, Krochta JM. 1997. Oxygen and aroma barrier properties of edible films: A review. *Trends in Food Science and Technology* 8:228–237.
- Min S, Krochta JM. 2005. Antimicrobial films and coatings for fresh fruit and vegetables. In: *Improving the Safety of Fresh Fruits and Vegetables*, edited by Jongen W, pp. 455–492. CRC Press, New York.
- Nam SH, Choi SP, Kang MY, Koh HJ, Kozukue N, Friedman M. 2006. Antioxidative activities of bran extracts from twenty one pigmented rice cultivars. *Food Chemistry* 94:613–620.
- Olsen CW, Du WX, Avena-Bustillos RJ, McHugh TH, Levin CE, Friedman M. 2008. Bactericidal effect, storage stability, and physical properties of carvacrol added tomato edible films prepared by two different casting methods. *Institute of Food Technologists Annual Meeting*. New Orleans, LA. (Abstract 053-05).
- Ouattara B, Simard R, Piette G, Bégin A, Holley RA. 2000. Inhibition of surface spoilage bacteria in processed meats by application of antimicrobial films prepared with chitosan. *International Journal of Food Microbiology* 62:139–148.
- Padgett T, Han Ly, Dawson PL. 1998. Incorporation of food-grade antimicrobial compounds into biodegradable packaging films. *Journal of Food Protection* 61(10):1330–1335.
- Park HJ. 1999. Development of advanced edible coatings for fruits. *Trends in Food Science and Technology* 10:254–260.
- Pranoto Y, Salokhe VM, Rakshit SK. 2005. Physical and antibacterial properties of alginate-based edible film incorporated with garlic oil. *Food Research International* 38:267–272.
- Quintavalla S, Vicini L. 2002. Antimicrobial food packaging in meat industry. *Meat Science* 62(3):373–380.
- Rodríguez A, Batelle R, Nerín C. 2007. The use of natural essential oils as antimicrobial solutions in paper packaging. Part II. *Progress in Organic Coatings* 60:33–38.
- Rodov V, Ben-Yehoshua S, Pang DQ, Kim JJ, Ashkenazi R. 1995. Preformed antifungal compounds of lemon fruit: citral and its relation to disease resistance. *Journal of Agriculture and Food Chemistry* 43:1057–1061.
- Rojas-Graü MA, Avena-Bustillos RJ, Friedman M, Henika PR, Martin-Belloso O, McHugh TH. 2006. Mechanical, barrier, and antimicrobial properties of apple puree edible films containing plant essential oils. *Journal of Agriculture and Food Chemistry* 54:9262–9267.
- Rojas-Graü MA, Avena-Bustillos RJ, Olsen C, Friedman M, Henika PR, Martin-Belloso O, Pan Z, McHugh TH. 2007a. Effects of plant essential oils and oil compounds on mechanical, barrier and antimicrobial properties of alginate-apple puree edible films. *Journal of Food Engineering* 81:634–641.
- Rojas-Graü MA, Raybaudi-Massilia RM, Avena-Bustillos RJ, Martin-Belloso O, McHugh TH. 2007b. Apple puree-alginate edible coating as carrier of antimicrobial agents to prolong shelf life of fresh-cut apples. *Postharvest Biology and Technology* 45:254–264.
- Schreiner M, Huyskens-Keil S, Krumbein A, Prono-Widayat H, Ludders P. 2003. Effect of film packaging and surface coating on primary and secondary plant compounds in fruit and vegetable products. *Journal of Food Engineering* 56:237–240.
- Seydim AC, Sarikus G. 2006. Antimicrobial activity of whey protein based edible films incorporated with oregano, rosemary and garlic essential oils. *Food Research International* 39:639–644.
- Shahidi F, Naczk M. 2004. *Phenolics in Food and Nutraceuticals*. CRC Press, Boca Raton, FL.
- Smith-Palmer A, Stewart J, Fyfe L. 1998. Antimicrobial properties of plant essential oils and essences against five important food-borne pathogens. *Letters in Applied Microbiology* 26:118–122.
- Suyatma NE, Tighzert L, Copinet A. 2005. Effects of hydrophilic plasticizers on mechanical, thermal, and surface properties of chitosan films. *Journal of Agriculture and Food Chemistry* 53:3950–3957.
- Tanada-Palmu PS, Grosso CRF. 2005. Effect of edible wheat gluten-based films and coatings on refrigerated strawberry (*Fragaria ananassa*) quality. *Postharvest Biology and Technology* 36:199–208.
- Valverde JM, Valero D, Martinez-Romero D, Guillen FN, Castillo S, Serrano M. 2005. Novel edible coating based on aloe vera gel to maintain table grape quality and safety. *Journal of Agriculture and Food Chemistry* 53:7807–7813.
- Walsh C. 2003. *Antibiotics: Actions, Origins, Resistance*. ASM Press, Washington, D.C.
- Yurdugül S. 2005. Preservation of quinces by the combination of an edible coating material, Semperfresh, ascorbic acid and cold storage. *European Food Research and Technology* 220:579–586.

- Zaika LL. 1988. Spices and herbs: their antimicrobial activity and its determination. *Journal of Food Safety* 9:97–118.
- Zivanovic S, Chi S, Draughon AF. 2005. Antimicrobial activity of chitosan films enriched with essential oils. *Journal of Food Science* 70:M45–M51.